

Know the Name.

Know the Connections.

Know the Risk.



Are your customers an opportunity for growth, or a threat to your business?

Doing business with the wrong person just once can result in steep financial penalties for your organization and legal proceedings against key executives. The ensuing scandal can cause irreparable damage to your corporate reputation.

Dow Jones Watchlist, from Dow Jones Risk & Compliance is a structured risk screening solution used by 12 of the top 15 global financial institutions for more effective compliance with Anti-Money Laundering and Counter-Terrorist Financing regulation.

Easily and accurately identify high-risk clients with detailed, up-to-date profiles on more than 650,000 individuals and entities. Our comprehensive database includes:

- global coverage of senior Politically Exposed Persons, their relatives, close associates, and the companies they are linked to
- national and international government sanction lists
- persons officially linked to, or convicted of, high-profile crime

Why Dow Jones Watchlist?

Minimizes False Positives

Bigger is not better when it comes to selecting a commercial list for PEP and sanctions screening. Bigger lists mean more false positives and valuable resources drained clearing low-level matches. The Dow Jones Risk & Compliance PEP Definition, which covers 21 job role categories that we, and our customers, have identified as posing the highest risk, means our focus is on quality rather than quantity.

Our mission is to provide customers with a solution that enables them to comply effectively with both the letter and the spirit of the law without generating an additional, unnecessary workload for compliance departments.

Supports a risk-based approach to compliance

The granularity with which Dow Jones Risk & Compliance profiles are categorized makes it easy to select and filter against only your organization's high risk areas. Add to that the ability to customize the Dow Jones Risk & Compliance PEP definition and Risk Score Tool to your local business and regulatory environment and you have powerful solution to help you adopt a true risk-based approach to compliance.

Speeds up investigations

Our industry leading set of secondary identifiers including date of birth; middle names or initials; names in original script; spelling and accent variations; and pictures; help to minimize false positives, and provide customers with in depth profiles allowing them to clear alerts far more quickly.

Enhances the effectiveness of name matching software

Our innovative, third generation XML platform offers the most flexible and robust data format for PEP and sanctions screening, and can be easily integrated into a wide range of anti-money laundering software solutions. The high quality of our content, and the ease with which it can be integrated, ensures superior performance of your software solution and maximum return on investment.



Additional options for more effective Enhanced Due Diligence

Dow Jones **Factiva**

Get the full picture with the Dow Jones Factiva news archive of over 28,000 global news and business publications going back over 30 years. Quickly and easily conduct comprehensive negative media searches across content from 157 countries in 23 languages, including an unmatched collection of regional press.

Dow Jones **Corporate Connections** - **NEW!**

Seamlessly access Dow Jones Companies & Executives, a global database of over 18 million private and public companies and 36 million executives, for a more complete picture of a person or entity. Uncover connections between individuals and companies to fully understand the level of risk posed by a customer.

To learn more about Dow Jones Risk & Compliance solutions call:

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